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783-391-9861 Telephone Number

TETRADERMINAL DISCLAIMEN TO OBVIATE A DOUBLE PATENTING REJECTION OVER A "PRIOR" PATENT	Docket Number (Optional) V-015-C1
in re Application of: David H. COLE	
Application No.: 10/090,189	
Filed: March 4, 2002	
FOR: METHODS AND DEVICES USING MAGNETIC FORCE TO FORM AN ANASTOMOSIS BETW	WEEN HOLLOW BODIES
MEDTRONIC AVECOR CARDIOVASCULAR, INC.	
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In making the above disclaimer, the owner does not disclaim the terminal part of the term of any part would extend to the expiration date of the full statutory term as defined in 35 U.S.C. 154 and 173 of patent is presently shortened by any terminal disclaimer," in the event that said prior patent later: expires for fallure to pay a maintenance fee; is held unenforceable; is found invalid by a court of competent jurisdiction; is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321; has all claims canceled by a reexamination certificate; is releasted; or	the prior patent, "as the term of said prio
Check either box 1 or 2 below, if appropriate.	
1. For submissions on behalf of a business/organization (e.g., corporation, partnership, universetc.), the undersigned is empowered to act on behalf of the business/organization.	rsity, government agency,
I hereby declare that all statements made herein of my own knowledge are true and that belief are believed to be true; and further that these statements were made with the knowledge the made are punishable by fine or imprisonment, or both, under Section 1003 of Tale 18 of the Unit statements may jeopardize the validity of the application or any patent issued thereon.	haf udifful fales elatements and the like as
2. The undersigned is an attorney or egent of record. Reg. No	
Signature	December 13, 2004 Date
Jeff Hohenshell	
Typed or printed name	

Terminal disclaimer fee under 37 CFR 1.20(d) included.

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if you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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TETRINERMINAL DISCLAIMER TO OBVIATE A DOUBLE PATENTING Docket Number (Optional) REJECTION OVER A "PRIOR" PATENT V-015-C1 in re Application of: David H. COLE Application No.: 10/090,189 Flied: March 4, 2002 FOR: METHODS AND DEVICES USING MAGNETIC FORCE TO FORM AN ANASTOMOSIS BETWEEN HOLLOW BODIES MEDTRONIC AVECOR CARDIOVASCULAR, INC. The owner, of percent interest in the instant application hereby disciplins, except as provided below, the terminal part of the statutory term of any patent granted on the instant application which would extend beyond the expiration date of the full statutory term prior patent No. 5,352,543 as the term of said prior palent is defined in 35 U.S.C. 154 and 173, and as the term of said prior patent is presently shortened by any terminal disclaimer. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and the prior patent are commonly owned. This agreement runs with any patent granted on the Instant application and is binding upon the grantee, its successors or assigns. In making the above disclaimer, the owner does not disclaim the terminal part of the term of any patent granted on the instant application that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. 154 and 173 of the prior patent, "as the term of said prior patent is presently shortened by any terminal disclaimer," in the event that said prior patent later: expires for fallure to pay a maintenance fee; is held unenforceable; is found invalid by a court of competent jurisdiction; is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321; has all daims canceled by a reexamination certificate; is reissued; or is in any manner terminated prior to the expiration of its full statutory term as presently shortened by any terminal discisimer. Chack either box 1 or 2 below, if appropriate. 1. For submissions on behalf of a business/organization (e.g., corporation, partnership, university, government agency, etc.), the undersigned is empowered to act on behalf of the business/organization. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and bettef are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. The undersigned is an attorney or agant of record. Reg. No. December 13, 2004 Date Jeff Hohenshell Typed or printed name 7**83-991-96**61 Telephone Number Terminal disclaimer fee under 37 CFR 1.20(d) included.

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*Statement under 37 CFR 3.73(b) is required if terminal discialments signed by the assignee (owner). Form PTO/SB/96 may be used for making this certification. See MPEP § 324.

This collection of information is required by 37 CFR 1.321. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application from to the USPTO. Time with vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Pepariment of Commence, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patenta, P.O. Box 1450, Alexandria, VA 22313-1450.

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POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number 10/090,199

Filing Date March 4, 2002

First Named Inventor DAVID H. COLE

Group Art Unit 3731

Examiner Name Julian W. Woo

Afterney Docket Number V-015-C1

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Applicant/Inventor.								
Assignee of record of the entire interest. See 37 CFR 3.71.								
Certificate under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).								
SIGNATURE of Applicant or Assignee of Record								
Name Jeff Hohenshell								
Signature Why holadd								
Date December 13, 2004 NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required.								
Submit multiple	Submit multiple forms if more than one signature is required, see below".					ire required.		
*Total of	Total of forms are submitted.							

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: David H. COLE	
Application No./Patent No.: 10/080,199 Filed/Issue Date: March 4, 2002	
Enlided: METHODS AND DEVICES USING MAGNETIC FORCE TO FORM AN ANASTOMOSIS BET	WEEN HOLLOW BODIES
MEDTRONIC AVECOR CARDIOVASCULAR, INC	ship, unversity, government agency, etc.)
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an assignee of less than the entire right, title and interest. The extent (by percentage) of its ownership interest is%	
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Copies of assignments or other documents in the chain of title are attached. [NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the MPEP 302.08]	be submitted to Assignment e records of the USPTO. <u>See</u>
The undersigned (whose title is supplied below) is authorized to act on behalf of the assigned	
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	Dacember 13, 2004
∜	Date
Jeff Hohenshell	763-391-9661
Schor Patent Counsel	Telephone Number
Title	

This collection of information is required by 37 CFR 3.78(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form end/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Patent and Tradement Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.





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RECORDATION DATE: 08/14/2000

REEL/FRAME: 011135/0864

NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR''S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

COLE, DAVID H.

DOC DATE: 08/04/2000

ASSIGNEE:

VENTRICA, INC. 976 HAMILTON AVENUE MENLO PARK, CALIFORNIA 94025

SERIAL NUMBER: 09562599

PATENT NUMBER:

FILING DATE: 04/29/2000

ISSUE DATE:

TOWNSEND & TOWNSEND

JEEVON JONES, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

Attorney Docket No.: 015 TTC Reference: 19432-001100

ASSIGNMENT OF PATENT APPLICATION

SOLE

WHEREAS, DAVID H. COLE, of 1550 Lago Street, San Mateo, CA 94403, hereinafter referred to as "Assignor," is the inventor of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention:

METHODS AND DEVICES USING MAGNETIC FORCE

TO FORM AN ANASTOMOSIS BETWEEN HOLLOW

BODIES

Date(s) of execution of Declaration:

AUGUST 4, 2000

Filing Date:

April 29, 2000

Application No.:

09/562,599; and

WHEREAS, VENTRICA, INC., a corporation of the state of DE, located at 976 Hamilton Avenue, Menlo Park, CA, 94025, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignor, Assignor has assigned, and by these presents does assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on any patent application claiming priority from the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignor had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignor further agrees that Assignor will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignor hereby authorizes and requests Townsend and Townsend and Crew LLP, Two Embarcadero Center, 8th Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known.

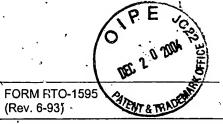
IN TESTIMONY WHEREOF, Assignor has signed his/her name on the date indicated.

Dated:

8/4/00

DAVID H. COLE

PA 3087775 v1



10-11-2000



101484514

Attorney Docket No. 015 TTC Reference: 19432-001100

U.S. Department of Commerce Patent and Trademark Office

(10.10.14				
To the Honorable Asst. Commissioner for Patents. Please record to	the attached original documents or copy thereof			
Name of conveying party(ies):	2. Name and address of receiving party(ies)			
DAVID H. COLE	Name: VENTRICA, INC.			
Additional name(s) of conveying parties attached?	Street Address: 976 HAMILTON AVENUE			
☐ Yes ☒ No.	City: MENLO PARK State: CA ZIP: 94025			
3. Nature of conveyance:	Additional names and addresses attached?			
 ☑ Assignment ☐ Security Agreement ☐ Other: Execution Date: AUGUST 4, 2000 	1 Yes ≥ No = = = = = = = = = = = = = = = = = =			
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Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved 01			
Name: JAMES M. HESLIN TOWNSEND AND TOWNSEND AND CREW LLP Two Embarcadero Center, 8 th Floor San Francisco, California 94111-3834 (415) 576-0200	 7. Total fee (37 CFR 3.41): \$40.00 ☐ Enclosed ☐ Charge Fees to Deposit Account ☐ Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account. 8 Deposit account number: 20-1430 			
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9. Statement and signature. To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document. JAMES M. HESLIN Name of Person Signing Signature Atty. Reg. No. 29,541 Total number of pages including cover sheet, attachments and document 02				
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NOVEMBER 17, 2004

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JOHN S. PARZYCH
200 SOUTH SIXTH STREET, SUITE 4000
MINNEAPOLIS, MN 55402

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RECORDATION DATE: 11/10/2004

REEL/FRAME: 015366/0710 NUMBER OF PAGES: 23

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

VENTRICA, INC.

DOC DATE: 10/29/2004

ASSIGNEE:

MEDTRONIC, INC. 710 MEDTRONIC PARKWAY, NE MINNEAPOLIS, MINNESOTA 55432-5604

SHARON LATIMER, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

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